Transfer of Portfolio to Redmayne Bentley

Redmayne Bentley

This form allows you to transfer assets to Redmayne Bentley. For further details please contact your local office. Please ensure you have read the appropriate *Schedule of Charges* and *Terms of Business* (available at **www.redmayne.co.uk/terms**).

PERSONAL DETAILS (Please complete all details in **CAPITALS**)

Redmayne Bentley Portfolio ID (If known) ____________

FIRST PORTFOLIO HOLDER

Title ______ Surname ____________

First Names (In full) ____________

Date of Birth ____/____/____

Country & Place of Birth ____________/____________

Country(ies) where taxed ____________

Tax Identification Number* ____________

** In the UK, this is your National Insurance Number.*

Nationalities ____________ National Identifier** ____________

SECOND PORTFOLIO HOLDER (If applicable)

Title ______ Surname ____________

First Names (In full) ____________

Date of Birth ____/____/____

Country & Place of Birth ____________/____________

Country(ies) where taxed ____________

Tax Identification Number* ____________

** In the UK, this is your National Insurance Number.*

Nationalities ____________ National Identifier** ____________

If your Nationality is British, this is your National Insurance Number; if not, or if you have dual Nationality, please see* ***www.redmayne.co.uk/NID *for further information on the details you need to provide for the National Identifier, which may be different from your Tax Identification Number.*

Residential Address ____________

____________ Postcode ____________

First Portfolio Holder	Second Portfolio Holder
Tel ____________	Tel ____________
Tel (Mobile) ____________	Tel (Mobile) ____________
Email ____________	Email ____________
Occupation (previous, if retired) ____________	Occupation (previous, if retired) ____________
Employer (previous, if retired) ____________	Employer (previous, if retired) ____________

CORPORATE ACTIONS

You will be notified of new events that have a material impact on your holdings by email or post. If you have been registered for our client portal you can visit the Corporate Actions page to access the details of the new event and you will be able to make an election (stockbroking portfolios only) within the portal. Elections can also be made by contacting your Redmayne Bentley executive or office.

CLIENT PORTAL: *my*RB

If you have provided an email address, we will register you for *my*RB our online client portal. You will be issued with an email detailing information about how to activate and log-in to *my*RB.

*my*RB enables you to:

- View all portfolio balances, holdings, valuations, activity and performance.
- Send/receive secure messages to/from your Redmayne Bentley executive/office.
- View portfolio documents, for example Contract Notes and Statements.
- Make secure payments to your portfolio(s).

PRIVACY POLICY

Our *Privacy Policy* contains information on how we will store and use your personal information and your rights in relation to this. You can view the full policy online at **www.redmayne.co.uk/privacy** or in hard copy on request.

MARKETING COMMUNICATIONS

We would like permission to contact you by email with relevant marketing information about the services we provide. Please note that if you have already provided your consent then we will use this unless you inform us that you wish to withdraw your consent. If you have not already provided your consent for us to contact you with relevant marketing information, and have provided an email address, please indicate which type of communications you would be happy to receive from us by ticking the boxes below.

Services and products that may be of interest to you:

First Portfolio Holder ☐ Second Portfolio Holder ☐

Stock market investment news and investment opportunities:

First Portfolio Holder ☐ Second Portfolio Holder ☐

Details of investment seminars and events:

First Portfolio Holder ☐ Second Portfolio Holder ☐

We may use personal data based on legitimate interest to contact you occasionally for marketing purposes by post. If we do so we will aim to ensure the content is relevant. If you do not wish to receive such messages by post, please contact your usual Redmayne Bentley office.

SUBSCRIBE TO OUR INVESTMENT PUBLICATIONS

Market Insight is our monthly publication. It is published on two months in each quarter. The aim of *Market Insight* is to explore a current investment theme by looking at the UK and global backdrop, to provide insight as well as give ideas for gaining exposure. *Market Insight* is available only by email.

By ticking this box I consent to being sent *Market Insight* by email from Redmayne Bentley:

First Portfolio Holder ☐ Second Portfolio Holder ☐

1875 is published in the remaining month of each quarter. It include*s Market Insight*, but with additional articles covering topical features and the macro-economic environment. *1875* is available by email by default. However, if you require a printed copy, please contact your usual Redmayne Bentley office and they will be able to arrange this.

By ticking this box I consent to being sent *1875* by email from Redmayne Bentley:

First Portfolio Holder ☐ Second Portfolio Holder ☐

PAYMENTS

Please select one of the following options:

☐ Option 1 – Retain dividends and settlements on a deposit account

☐ Option 2 – Pay dividends and settlements to designated bank account

☐ Option 3 – Pay dividends to designated bank account and retain settlements on deposit account

☐ Option 4 – Retain dividends on income account and retain settlements on deposit account

We require evidence of your bank or building society account: please provide a current hard copy document sent from your bank or building society detailing your name, bank account name, account number and sort code, such as a statement with full headers, recent correspondence or a spoiled cheque. Please note that we can only accept an online bank statement if it is a PDF.

Name of Bank or Building Society ______________________________

Branch Address ______________________________

______________________________ Postcode ____________

Bank Account Holder's Name(s) ______________________________ Currency ____________

Bank Sort Code ____/____/____ Bank Account Number ____________________ Roll Number ____________

Additional requirements for Non-UK Bank Accounts:

IBAN ______________________________ SWIFT/BIC Code ____________________

EXISTING PROVIDER DETAILS

Provider Name ______________________ Contact Name ______________________

Address ______________________ Tel No. ______________________

______________________ Email ______________________

______________________ Postcode __________ Reference/Account No. ______________________

Please remember to consult with your existing provider with regard to their terms and conditions of transfer.

TRANSFER INSTRUCTIONS TO EXISTING PROVIDER

With immediate effect, please arrange the transfer of my investments to Redmayne Bentley in the form of:

Please tick one option: Stock ☐ Stock and Cash ☐

- Please quote my name and Redmayne Bentley portfolio ID (detailed overleaf) as a reference when transferring cash and/or stock.
- Acknowledge receipt of these instructions to both Redmayne Bentley and me.
- Supply Redmayne Bentley with any information they require about my assets.
- Liaise with Redmayne Bentley's Custody Management Team whom I have already informed of my transfer request.
- Send a closing statement for my Nominee holdings and book cost to both Redmayne Bentley and me.

DECLARATION

- I/We understand that this is Redmayne Bentley's standard agreement for Stockbroking services and this agreement form, along with the appropriate *Terms of Business* and *Schedule of Charges*, constitute a legally binding agreement in English law.
- I/We understand that Redmayne Bentley will execute Orders in line with the *Order Execution Policy* available at **www.redmayne.co.uk/orderexecution** or in hard copy on request.
- I/We confirm that I am/we are not a United States 'person' as defined by FATCA, or Canadian resident.
- I/We declare that this agreement form has been completed to the best of my/our knowledge.

PLEASE SIGN HERE

______________________ ___/___/___ ______________________ ___/___/___

FIRST PORTFOLIO HOLDER | DATE | SECOND PORTFOLIO HOLDER (If applicable) | DATE

Please complete **ALL FIELDS** and return to: Custody Management Team, Redmayne Bentley, 3 Wellington Place, Leeds LS1 4AP

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